

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference ZS 6218 PCT	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/EP 03/14552	International filing date (day/month/year) 18/12/2003	(Earliest) Priority Date (day/month/year) 29/10/2003
Applicant CARL ZEISS SMT AG		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.
☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

- ☐ the text is approved as submitted by the applicant.
- ☒ the text has been established by this Authority to read as follows:

OPTICAL ASSEMBLY FOR PHOTOLITHOGRAPHY

5. With regard to the **abstract**,

- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. 1

- ☒ as suggested by the applicant.
- ☐ because the applicant failed to suggest a figure.
- ☐ because this figure better characterizes the invention.

☐ None of the figures.

INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 03/14552

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 G03F7/20 G02B5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 IPC 7 G03F G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 1 321 823 A (ASML NETHERLANDS B V) 25 June 2003 (2003-06-25) the whole document	1-3
X	US 2002/080339 A1 (TAKAHASHI MASATO) 27 June 2002 (2002-06-27) figures 1, RETICLE, WAFER	1-9, 13, 14, 16-19
X	PATENT ABSTRACTS OF JAPAN vol. 2002, no. 11, 6 November 2002 (2002-11-06) -& JP 2002 203767 A (NIKON CORP), 19 July 2002 (2002-07-19) abstract; figures 2-4	1, 15
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

G document member of the same patent family

Date of the actual completion of the international search

15 April 2004

Date of mailing of the international search report

27/04/2004

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INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/14552

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	PATENT ABSTRACTS OF JAPAN vol. 017, no. 683 (E-1477), 15 December 1993 (1993-12-15) -& JP 05 234850 A (CANON INC), 10 September 1993 (1993-09-10) the whole document	1-19
A	US 6 639 696 B1 (NISHIO TOMONORI) 28 October 2003 (2003-10-28) abstract; figure 3	1-19
A	US 2003/147061 A1 (OMURA YASUHIRO) 7 August 2003 (2003-08-07) abstract; figure 5	1-19

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 03/14552

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 1321823	A	25-06-2003	EP 1321822 A1	25-06-2003
			EP 1321823 A2	25-06-2003
			CN 1442755 A	17-09-2003
			JP 2003203860 A	18-07-2003
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			EP 1139138 A1	04-10-2001
			WO 0123935 A1	05-04-2001
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			US 6606144 B1	12-08-2003
			US 2003206282 A1	06-11-2003
			TW 418343 B	11-01-2001